

Holographic Aspects of Black Holes

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Lectures at the 27th "Saalburg" Summer School

Outline (revised)

Lecture 1: Motivation

Classical preliminaries

Lecture 2: Black hole thermodynamics

Lecture 3: Hawking effect

Lecture 4: Information paradox

Black hole complementarity

Classical preliminaries

Action for metric: $g_{\mu\nu}$ or signature $(-+++)$

$$S = S_{EM} + S_{matter} + S_{maxwell} + \dots$$

$$S_{EM} = \frac{1}{2\pi e^2} \int d^4x \sqrt{-g} (R - 2\Lambda) \quad \begin{matrix} \uparrow \\ \text{higher-order terms} \\ R^2, R_{\mu\nu}R^{\mu\nu}, \dots \end{matrix}$$

Work in $D=4$, then $\hbar^2 = 8\pi G_N$ has units of $(\text{length})^2$

Cosmological constant: $\Lambda = \begin{cases} 0 & \text{asymptotically flat} \\ -\frac{3}{L^2} & \text{--- --- AdS} \\ +\frac{3}{L^2} & \text{--- --- dS} \end{cases}$

Leave S_{matter} unspecified for now.

$$S_{\text{maxwell}} = -\frac{1}{4e^2} \int d^4x F_g F^2$$

$$F = \partial_\mu A_\nu - \partial_\nu A_\mu \quad \leftarrow \begin{array}{l} \text{gauge potential} \\ A_\mu = (\phi, \vec{A}) \end{array}$$

Note: $D=2$ toy models ($JT, CGHS, \dots$)
are often useful.

- (+) simplified regularization + renormalization
- (+) back-reaction of quantized matter
 \rightarrow semi-classical gravity
- (-) no local d.o.f.'s, conformally flat, etc.

Vacuum Einstein eq's ($F_{\mu\nu} = 0$)

$$R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R + \Lambda g_{\mu\nu} = 0$$

AdS-Schwarzschild solution: $\Lambda = -\frac{3}{L^2}$

$$ds^2 = -f_k(r) dt^2 + \frac{dr^2}{f_k(r)} + r^2 ds_k^2$$

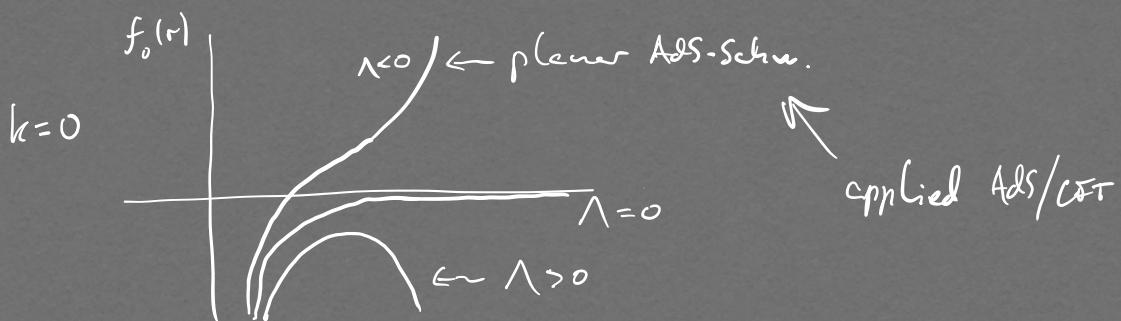
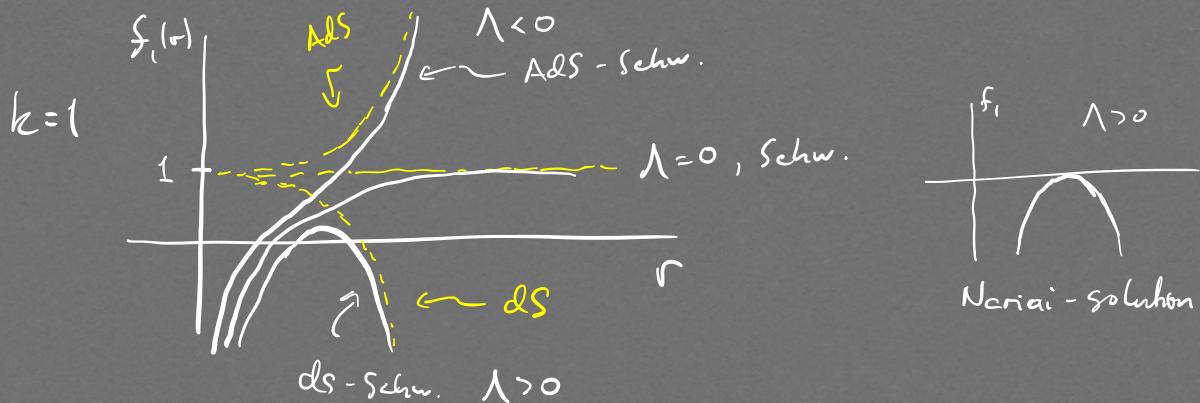
$$f_k(r) = \frac{r^2}{L^2} + k - \frac{2m}{r} \leftarrow \text{b.h. mass}$$

$$k \in \{1, 0, -1\}$$

$$ds_k^2 = \frac{dp^2}{1-kp^2} + p^2 d\theta^2 = \begin{cases} d\theta^2 + \sin^2 \theta d\phi^2 & k=+1 \\ dp^2 + p^2 d\theta^2 & k=0 \\ dX^2 + \sinh^2 X d\phi^2 & k=-1 \end{cases}$$

Note: $L \rightarrow \infty$ gives $\lambda=0$ Schwarzschild sol.

$L \rightarrow iL$ gives $\lambda>0$ dS-Schwarzschild sol.



Add radial electric field :

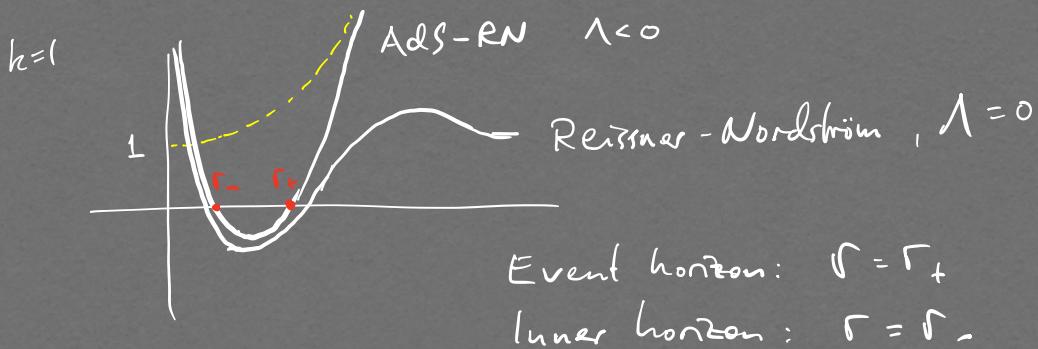
$$A_t = \frac{e}{\kappa} h(r) , \quad A_i = 0$$

charged b.h. solution

$$h(r) = \mu - \frac{Q}{r} \leftarrow \text{b.h. charge}$$

\nwarrow chemical potential

$$\boxed{\Lambda < 0} \quad f_k(r) = \frac{r^2}{L^2} + k - \frac{2m}{r} + \frac{Q^2}{r^2}$$



Single valued gauge field at $r = r_+$

$$h(r_+) = 0 \quad \text{i.e.} \quad \mu = \frac{Q}{r_+}$$

Applied AdS/CFT : Planar AdS-RN solution is dual to boundary QFT with conserved $U(1)$ charge at finite T and finite μ near a quantum critical point.

Kruskal-Székeres extension:

$$ds^2 = f_k(r) (-dt^2 + dr_*^2) + r(r_*)^2 d\sigma_k^2$$

for toze coordinate: $\sigma_* = \int \frac{dr'}{f_k(r')} \leftarrow$ inverse defines $r(r_*)$

$$f_k(r) = f'_k(r_H) (r - r_H) + \dots \text{ as } r \rightarrow r_H$$

$$\rightarrow r_* = \frac{1}{f'_k(r_H)} \log \left(\frac{r}{r_H} - 1 \right) + H(r) \quad \begin{matrix} \uparrow \\ \text{regular at } r=r_H \end{matrix}$$

$$\text{Write } \sigma = t + r_*, \quad u = t - r_*$$

\rightarrow near-horizon metric takes the form

$$ds^2 \approx f'(r_H) (r - r_H) (-d\sigma du) + r_H^2 d\sigma_k^2$$

$$\stackrel{\xi = \frac{1}{2} \sigma_k(r_H)}{=} 2\xi r_H e^{-2\xi H(r_H)} e^{2\xi r_*} (-d\sigma du) + r_H^2 d\sigma_k^2$$

$$\stackrel{\uparrow \text{surface gravity}}{=} 2\xi r_H e^{-2\xi H(r_H)} e^{\xi(\sigma-u)} (-d\sigma du) + r_H^2 d\sigma_k^2$$

$$= \frac{2r_H}{\xi} e^{-2\xi H(r_H)} (-dV dU) + r_H^2 d\sigma_k^2$$

with $V = e^{\xi \sigma}$, $U = -e^{-\xi u}$ null Kruskal coordinates

$r \rightarrow r_H$ amounts to $U \rightarrow 0$ or $V \rightarrow 0$

Metric is non-singular in UV-coordinates

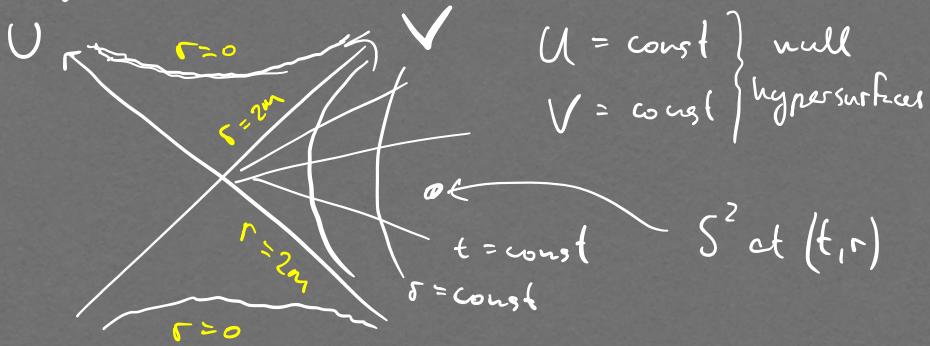
Exercise 1: Work out Kruskal-Székeres extension

for the special case of D=4 Schwarzschild black hole

($\Lambda=0$, $k=+1$ with $f_k(r)=1-\frac{2M}{r}$) and verify that $\xi=\frac{1}{4M}$

and $ds^2 = -\frac{32M^3}{r} e^{-\frac{r}{2M}} dV dU + r^2 d\sigma^2$.

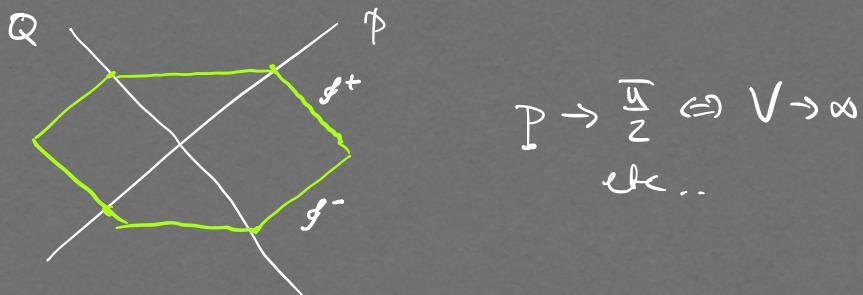
Konstel-diagram:



It's easy to read off causal relationships

(Carter-Penrose diagram: (conformal diagram))

$$\tan Q = U, \quad \tan P = V$$



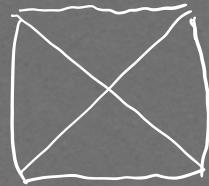
Maximally extended Schwarzschild spacetime

AdS-Schwarzschild solution: $k=+1$

$$r_* = \int^r \frac{d\sigma'}{\frac{r'^2}{L^2} + 1 - \frac{2M}{r}} \quad \text{finite or } r \rightarrow \infty$$

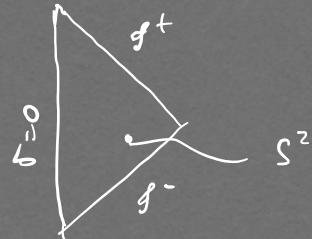
\rightarrow boundary at finite value of UV

Conformal diagram:

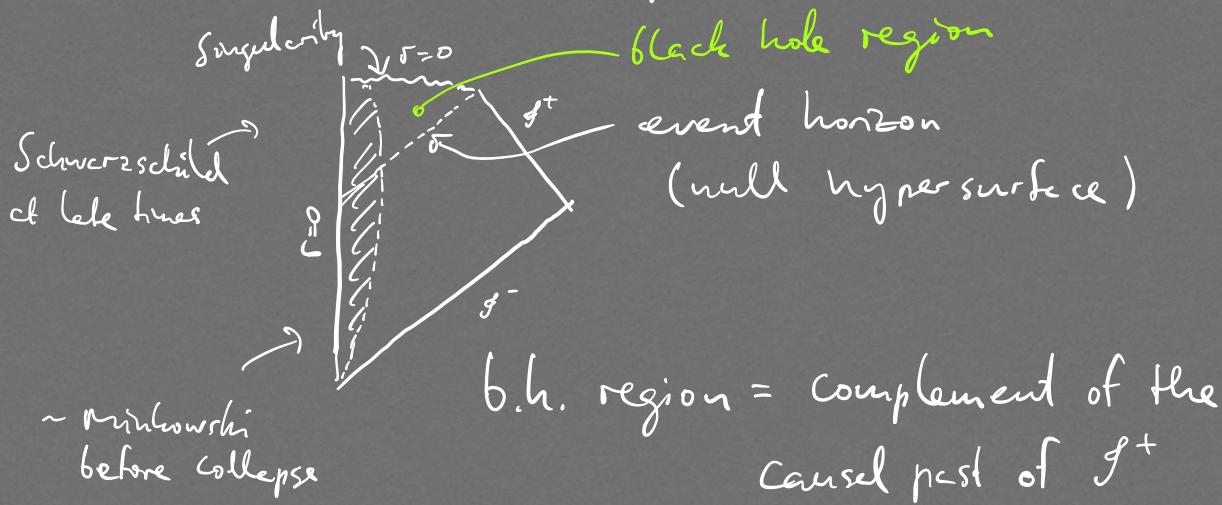


AdS-Schwarzschild

Minkowski spacetime:



Gravitational collapse:



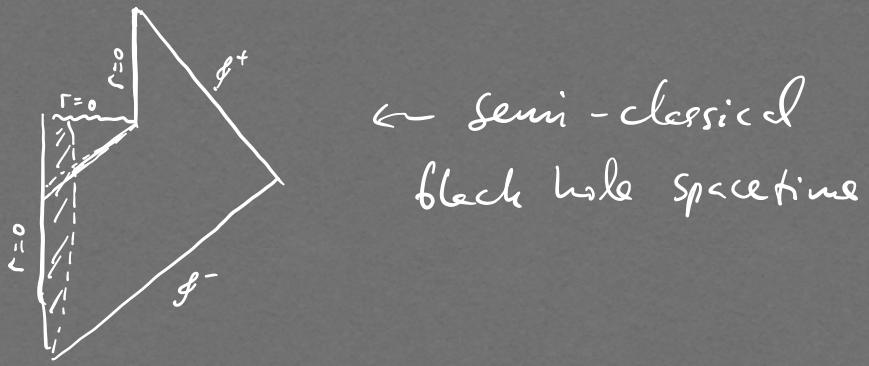
event horizon = boundary of b.h. region

points on event horizon are null or spacelike separated but not timelike separated

Hawking effect (lecture 3)

→ b.h. emits radiation

Back-reaction effect is small if $M \gg M_{\text{pl}}$
but important on long enough time scales



Analytic description available in $D=2$
RST model.

Black hole thermodynamics

Consider a $k=1$ RN black hole of mass M and charge Q in asymptotically flat ($\Lambda=0$) space time.

B.H. absorbs a particle of mass δM and charge δQ

→ event horizon moves to $r_h + \delta r_h$

$$\begin{aligned} O &= f_{M+\delta M, Q+\delta Q}(r_h + \delta r_h) \\ &= 1 - \frac{2(M+\delta M)}{r_h + \delta r_h} + \frac{(Q+\delta Q)^2}{(r+h+\delta r_h)^2} \\ &= \underbrace{f_{M, Q}(r_h)}_{=0} + f'_{M, Q}(r_h) \delta r_h - \frac{2\delta M}{r_h} + \frac{2Q\delta Q}{r_h^2} + O(\delta r_h^2) \end{aligned}$$

$$\rightarrow \delta M = \underbrace{\frac{1}{2} f'(r_h)}_{\xi} \underbrace{r_h \delta r_h}_{\frac{1}{8\pi} \delta A_h} + \underbrace{\frac{Q}{r_h} \delta Q}_{\mu}$$

$$\rightarrow \delta M = \underbrace{\frac{\xi}{8\pi} \delta A_h}_{\text{Kerr-Newman}} + \mu \delta Q + \Omega \delta J$$

1st law of b.h. dynamics

Comparison with $dE = TdS + \mu dQ + \Omega dJ$

suggests $T \propto \xi$, $S \propto A_h$

Definition of surface gravity:

Killing vector K^μ :

$$K^\mu \nabla_\mu K^\nu = \xi K^\nu \text{ on Killing horizon}$$

↑
null hypersurface where the
norm of K^μ vanishes
(c.f. $\frac{\partial}{\partial t}$ on event horizon)

0th law: ξ is constant on event horizon
of a stationary b.h.

2nd law (area theorem):

Null energy condition (NEC)

$$T_{\mu\nu} k^\mu k^\nu \geq 0 \text{ for all null } k^\mu$$

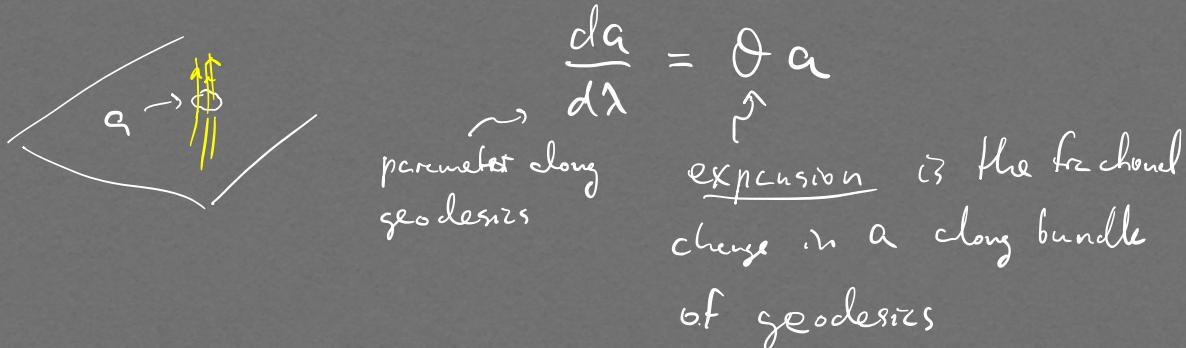
matter energy momentum tensor

"For matter satisfying NEC the area of
spatial cross sections of the event horizon
is non-decreasing"

Sketch of proof:

Event horizon is generated by null geodesics

Consider a patch of a spatial cross section



$$\text{area theorem} \Leftrightarrow \Theta \geq 0$$

Raychaudhuri's equation for geodesic deviation

$$\frac{d\Theta}{d\lambda} = -\frac{\Theta^2}{3} - \underbrace{\tau_{\mu\nu}\tau^{\mu\nu}}_{\text{shear}} - R_{\mu\nu}k^\mu k^\nu$$

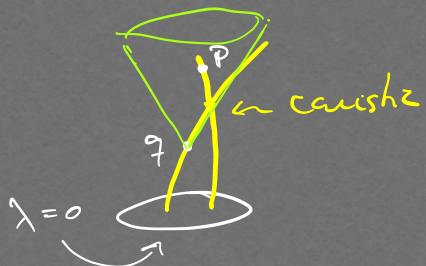
$$\text{Einstein eq. } R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} + \Lambda g_{\mu\nu} = \mathcal{H}^2 T_{\mu\nu}$$

$$\rightarrow R_{\mu\nu} k^\mu k^\nu = \mathcal{H}^2 T_{\mu\nu} k^\mu k^\nu \geq 0 \text{ by NEC}$$

$$\text{It follows that } \frac{d\Theta}{d\lambda} \leq -\frac{\Theta^2}{3} \text{ i.e. } \frac{d}{d\lambda}\left(\frac{1}{\Theta}\right) \geq \frac{1}{3}$$

$$\text{If } \Theta(\lambda=0) = \Theta_0 < 0 \text{ then } \frac{1}{\Theta(\lambda)} \geq \frac{1}{\Theta_0} + \frac{\lambda}{3} \text{ for all } \lambda > 0$$

$$\text{Then } \frac{1}{\Theta} = 0 \text{ for some } \lambda < -\frac{3}{\Theta_0} \text{ i.e. } \boxed{\Theta \rightarrow -\infty} \text{ Causal$$



p is inside future
light-cone of q
but there are no
time-like separated
events on event horizon.

It follows that $\theta \geq 0$ for all λ . $\underline{\underline{}}$

Bekenstein (1977): Thermodynamic interpretation of B.H. laws

→ identify $\{ \propto T$, $A_H \propto S$

Hawking (1975): Black holes emit thermal radiation

$$T_H = \frac{\xi}{2\pi} = \frac{1}{8\pi M} \text{ Schwarzschild}$$

so that $S_{BH} = \frac{1}{4} A_H \leftarrow$ area in Planck units

Note: Hawking emission leads to $\frac{dA_H}{d\lambda} < 0$

→ Generalized 2nd law including
the entropy of Hawking radiation

Large black holes ($M \gg M_{\text{pl}}$) evolve slowly

Stefan-Boltzmann law: $T = \frac{1}{8\pi M}$

$$\frac{dM}{dt} \propto -A_H T^4 \sim M^2 \frac{1}{M^4}$$

$$\rightarrow t_f - t_i \propto \int_{M_i}^{M_f} dM M^2 \propto M_i^3 - M_f^3 \simeq M_i^3$$

\uparrow
 $O(M_{\text{pl}})^3$

Black hole lifetime (Schwarzschild) $\tilde{\tau}_{\text{b.h.}} \propto \left(\frac{M_i}{M_{\text{pl}}}\right)^3 t_{\text{pl}}$

Putting in numbers $\tilde{\tau}_{\text{b.h.}} \approx 10^7 \text{ s} \left(\frac{M}{M_\odot}\right)^3$

Parametrically longer than other b.h. time scales:

light-crossing time $\sim M$

scrambling time $\sim M \log M$

\rightarrow can work on static background
if time scales of problem are $\ll \tilde{\tau}_{\text{b.h.}}$

\rightarrow Euclidean approach $\left\{ \text{to b.h. thermodynamics} \right\}$

Euclidean AdS-Schw. b.h.

$$ds^2 = f_k(r) d\sigma^2 + \frac{d\sigma^2}{f_k(r)} + r^2 ds_E^2$$

$$f_k(r) = \frac{r^2}{L^2} + k - \frac{2m}{r}$$

Obtained from real time solution via $t \rightarrow i\tilde{\tau}$

Near-horizon region $f_k(r) = \underbrace{\xi'(r_h)}_{=2\xi} (r - r_h) + \dots$ as $r \rightarrow r_h$

write $r = r_h + \frac{\xi}{2} \rho^2$

$\rightarrow ds^2 = \rho^2 \xi^2 d\tilde{r}^2 + d\rho^2 + r_h^2 ds_k^2$ for small ρ

$r=r_h$ is the origin in (ρ, \tilde{r}) plane

Euclidean geometry is smooth if

$\xi \tilde{r}$ is the polar angle

$$\rightarrow \tilde{r} \approx \tilde{r} + \beta \text{ with } \beta^{-1} = \frac{\xi}{2\pi} \text{ Hawking temperature}$$

Note: Proper distance around Euclidean line circle depends on radial position

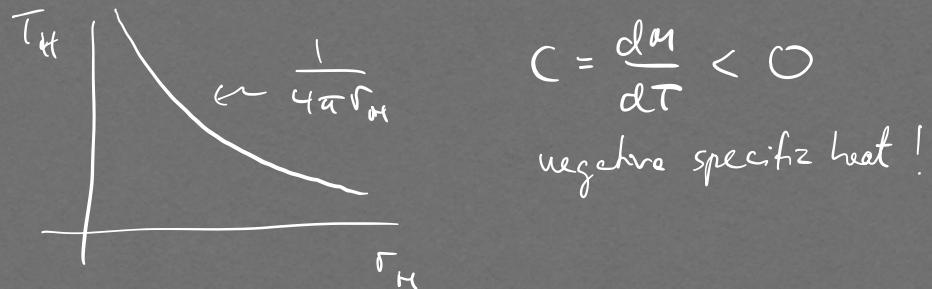
$$\beta(r) = \frac{2\pi}{\xi} \sqrt{f_k(r)}$$

\rightarrow local temperature for fiducial observer

$$T_{\text{fid}}(r) = \frac{T_\infty}{\sqrt{f(r)}} \rightarrow \infty \text{ as } r \rightarrow r_h$$

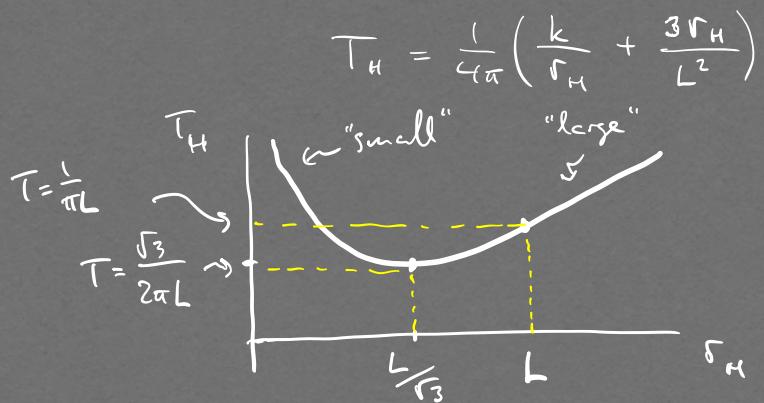
Tempting to interpret as a b.h. in thermal equilibrium with heat bath but not always possible

$k=1, \Lambda=0$ Schwarzschild solution



Jeans instability - uniform thermal radiation is unstable to gravitational collapse

Situation is better for $\Lambda < 0$, AdS-Schwarzschild



$$\text{Partition function } Z(\beta) = \int [dg] e^{-S_E[g]}$$

Euclidean path integral is ill defined

Consider a formal classical limit anyway
and look for Euclidean saddle points
with period β in $\tilde{\tau}$

$$\rightarrow Z(\beta) \approx e^{-S_E[g_*]} \uparrow \text{dominant saddle pt.}$$

$$S_E = -\frac{1}{2\pi} \int d^4x \sqrt{g} (R + 6) \quad \textcircled{*} \\ \text{set } L=1$$

Candidate saddle pt's

(1) Euclidean AdS (with $k=1$)

$$ds^2 = (r^2 + 1) dx^2 + \frac{dr^2}{r^2 + 1} + r^2 d\Omega^2$$

can have $\tilde{\tau} \approx \tau + \beta$ with any β

(2) Euclidean AdS-Schw.

Both (1) and (2) have the form

$$ds^2 = f(r) dx^2 + \frac{dr^2}{f(r)} + r^2 d\Omega^2$$

Inserting into $\textcircled{*}$ gives

$$S_E = -\frac{1}{2\pi r^2} \int d\tau dr d\theta d\phi r^2 \sin\theta \left[6 - \frac{1}{r^2} (r^2 f'' + 4rf' + 2f - 2) \right]$$

Two problems: (i) 2nd derivative of f in S .

(ii) S_E is divergent

Both are solved by boundary terms:

$$S_b = \lim_{r_0 \rightarrow \infty} \frac{1}{2\pi r^2} \int_{r=r_0} d\tau \sqrt{f} (-2K + 4 + {}^{(3)}R)$$

γ_{ij} induced metric on $r=r_0$ hypersurface

K trace of 2nd fundamental form $K_{ij} = \frac{1}{2} (\nabla_i n_j + \nabla_j n_i)$

${}^{(3)}R$ Ricci scalar of γ_{ij} outward directed unit normal

$$\sqrt{f} = r^2 \sqrt{f} \sin\theta ; \quad {}^{(3)}R = \frac{2}{r^2} , \quad K = \frac{rf' + 4f}{2r\sqrt{f}}$$

Bulk action includes the term

$$\frac{1}{2\pi r^2} \int d\tau dr 4\pi r^2 f'' = -\frac{4\pi}{r^2} \int d\tau dr rf' + \frac{2\pi}{r^2} \int d\tau r^2 f' \Big|_{r_H}^{r_0}$$

Remaining bulk terms in S_E cancel on-shell

Divergent parts (when $r_0 \rightarrow \infty$) of boundary terms cancel giving a finite result

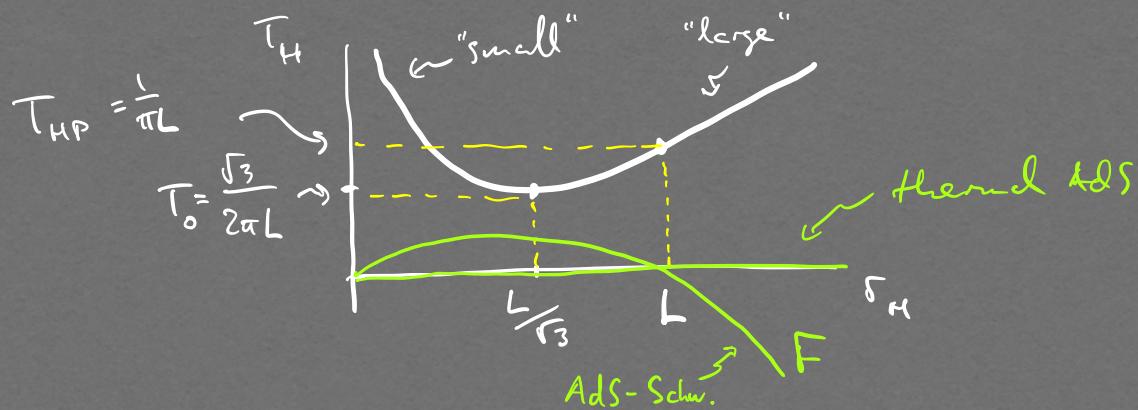
$$S_E + S_b = \frac{8\pi}{\pi r^2} \int d\tau \underbrace{(m - Ts)}_{\text{Free energy}}$$

Exercise 2: Verify that divergences cancel in the on-shell action with boundary terms and the free energy has the value $F = M - TS$

$$F = M - TS$$

$$= \frac{r_h}{4} (k - r_h^2) \quad \leftarrow k=1$$

Hawking-Page transition (with factors of L reinstated)



$T < T_0$: thermal AdS is only stable pt.

$T > T_0$: thermal AdS small bh. large bh.



↑
dominates for

dominates for $T_0 < T < T_{HP}$ $T > T_{HP}$

QFT in curved spacetime: (in brief)

Minimally coupled scalar field

$$S_\phi = -\frac{1}{2} \int_M d^4x \sqrt{-g} \left(g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi + m^2 \phi^2 + \frac{1}{6} R \phi^2 \right)$$

$$\text{Klein-Gordon eq: } (\nabla^2 - m^2) \phi = 0 \quad \begin{matrix} \text{for conformal} \\ \text{coupling} \end{matrix}$$

Assume $M = \mathbb{R} \times \Sigma$ ^{on Cauchy surfaces} and choose local coordinates (x^μ, \vec{x})

$$K\text{-G inner product: } (f, g) = \int_{\Sigma} d\Sigma^n j_n(f, g) \quad \begin{matrix} \Sigma \text{ complex valued func} \end{matrix}$$

$$d\Sigma^n = d^3x \sqrt{h} n^\mu \quad \begin{matrix} \tau \text{ future directed unit normal to } \Sigma \end{matrix}$$

induced metric on Σ

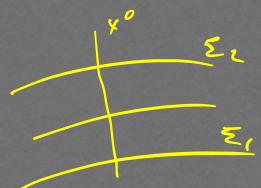
$$j_n(f, g) = -i (f \bar{\partial}_\mu g^* - (\partial_\mu f) g^*)$$

$$K\text{-G equation} \rightarrow \nabla^\mu j_\mu = 0$$

$$\text{and it follows that } (f, g)_{\Sigma_1} = (f, g)_{\Sigma_2}$$

$$\text{One finds } (f, g)^* = -(f^*, g^*) = (g, f) \text{ and } (f, f^*) = 0$$

Note: K-G inner product is not positive definite



Mode expansion: $\phi(x) = \sum_i (a_i u_i(x) + a_i^+ u_i^*(x))$

$$(u_i, u_j) = \delta_{ij} \quad (u_i^*, u_j^*) = -\delta_{ij} \quad (u_i, u_j^*) = 0$$

Canonical quantization: $[a_i, a_j^+] = \delta_{ij}$

Vacuum state: $a_i |0\rangle = 0 \quad \forall i$

Fock space basis $\left\{ \left(\frac{1}{n_1! n_2! \dots} \right)^{n_1} (a_1^+)^{n_1} (a_2^+)^{n_2} \dots |0\rangle \right\}$

Minkowski spacetime: $M = \mathbb{R}^{1,3}$

$$u_{\vec{k}} = \frac{1}{\sqrt{2\omega(2\pi)^3}} e^{i\vec{k}\cdot\vec{x} - i\omega x^0} \quad \boxed{\omega = \sqrt{\vec{k}^2 + m^2}}$$

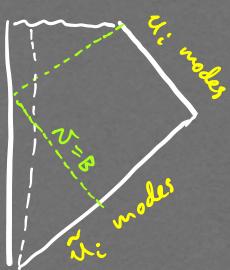
$\frac{\partial}{\partial x^0}$ and $\frac{\partial}{\partial x^i}$ generate symmetries of $\mathbb{R}^{1,3}$
(subset of Poincaré transformation)

that leave $|0\rangle$ invariant.

General curved background:

No symmetry, no preferred coordinates
→ choice of positive frequency modes u_i
is not unique

Hawking effect:



Scalar field in $|0\rangle$ state
for \tilde{u}_i modes at \mathcal{I}^+

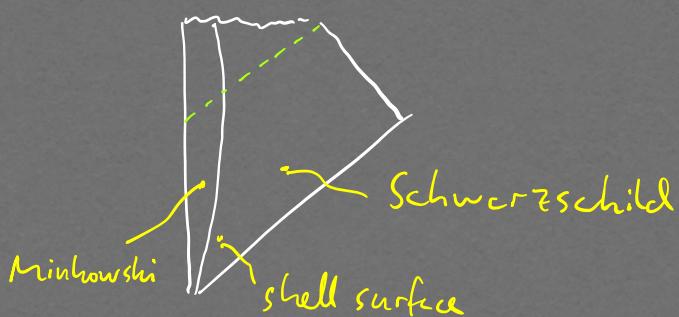
Want to calculate $\beta_{ij} = -(\hat{u}_i, u_j^*)$

Evolve u_i mode back from \mathcal{I}^+ to \mathcal{I}^-
reflecting at $r=0$ (take $m=0$ for
simplicity)

→ only has support for $N < B$

Main contribution to overlap integral comes
from $N \rightarrow B$, i.e. close to horizon

Collapsing shell model (Birrell & Davies:
QFT in curved space)



Solve K-G equation
outside and inside

Match solutions across
shell

Spherical symmetry: $U_\omega = \frac{1}{r} R_{\omega l}(r) Y_{lm}(0, \phi) e^{-i\omega t}$

Radial equation outside shell ($m=0$)

$$\frac{d^2 R_{\omega l}}{dr_*^2} + \left(\omega^2 - \frac{l(l+1)}{r^2} + \frac{2M}{r^3} \right) \left(1 - \frac{2M}{r} \right) R_{\omega l} = 0$$

Outgoing mode at \mathcal{I}^+ : $U_\omega \approx \frac{1}{\sqrt{4\pi\omega}} e^{-i\omega u}$
 $u = t - r_*$

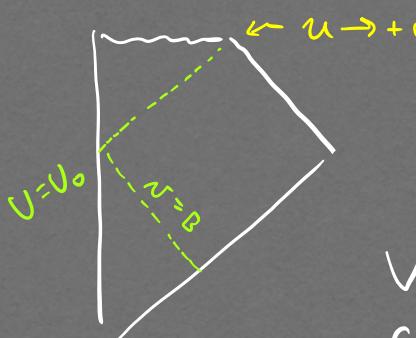
Ingoing mode at \mathcal{I}^- : $\tilde{U}_{\omega'} \approx \frac{1}{\sqrt{4\pi\omega'}} e^{-i\omega N}$
 $N = t + r_*$

Matching condition across shell gives

$$U_0 - U = e^{-\xi u} \quad \text{for } u \rightarrow \infty \text{ (late times)}$$

↑ surface gravity

i.e. $u = \frac{1}{\xi} \log(U_0 - U)$



At $r=0$ we have $V=U$

View the outgoing mode as reflection
of an ingoing mode

$$U_\omega \propto e^{-i\omega \frac{1}{\xi} \log(U_0 - V)}$$

Assume large shell mass $M \gg M_{\text{pl}}$

→ spacetime curvature outside horizon is small

$$\text{Note: } R_{\mu\nu\lambda\sigma}R^{\mu\nu\lambda\sigma} = \frac{48M^2}{r^6} \xrightarrow{r \rightarrow 2M} \frac{3}{4M^4}$$

Then $V = N + \text{const}$ and $u_\omega \propto \begin{cases} e^{-i\frac{\omega}{\xi} \log\left(\frac{B-N}{A}\right)} & N < B \\ 0 & N > B \end{cases}$

with B and A
constants

Finally, evaluate overlap integral on a Cauchy surface near \mathcal{I}^- :

$$\beta_{\omega' \omega} = -(\tilde{u}_{\omega'}, u_\omega^*) \quad \leftarrow \begin{cases} \tilde{u}_{\omega'} = \frac{1}{\sqrt{4\pi\omega'}} e^{-i\omega' N} \\ u_\omega = \frac{1}{\sqrt{4\pi\omega}} e^{-i\frac{\omega}{\xi} \log\left(\frac{B-N}{A}\right)} \end{cases}$$

$$= \frac{1}{2\pi} \sqrt{\frac{\omega'}{\omega}} \int_{-\infty}^B dN e^{-i\omega' N} \left(\frac{B-N}{A} \right)^{i\omega/\xi}$$

\downarrow exercise phase factor

$$= \frac{e^{ix}}{2\pi \sqrt{\omega\omega'}} e^{-\frac{\pi}{2} \frac{\omega}{\xi}} \Gamma\left(1 + \frac{i\omega}{\xi}\right) \circledast$$

$$\rightarrow |\beta_{\omega\omega'}|^2 = \frac{e^{-\pi\omega/\xi}}{4\pi^2\omega\omega'} \left| \Gamma(1 + \frac{i\omega}{\xi}) \right|^2$$

↓

$$= \frac{1}{2\pi\xi\omega'} \frac{1}{e^{\frac{2\pi}{\xi}\omega} - 1} \quad (\ast\ast)$$

Exercise 4:

Starting from the overlap integral for $\beta_{\omega\omega'}$
derive \circledast and $(\ast\ast)$

Notes: (1) $T_H = \frac{\xi}{2\pi} = \frac{1}{8\pi M} = 6 \cdot 10^{-8} K \left(\frac{M_0}{m} \right)$

(2) $\int d\omega' |\beta_{\omega'\omega}|^2$ is log divergent!

→ back-reaction, b.h. evaporates

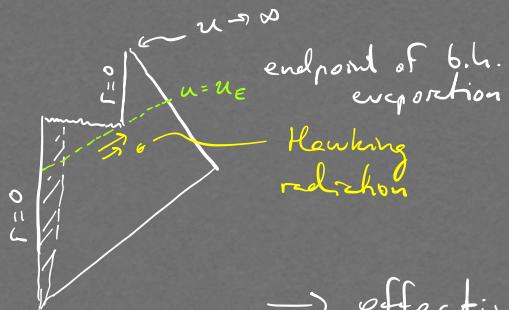
(3) Dominant contribution to overlap integral
comes from $\omega \rightarrow \infty$ region very close to
event horizon

→ trans-Planckian modes

Addressed by infalling lattice model
of Corley and Jacobson (1997)

- (4) Correction to spectrum due to mode propagation
in Schwarzschild geometry outside b.h.
→ gray body factors
- (5) See Hawking's 1975 CMP paper
for additional subtleties of calculation
- (6) QFT on maximally extended
Schwarzschild spacetime (external b.h.)
 - elegant mathematical formulation
 - results depend on choice of vacuum
 (Boulware, Unruh, Hartle-Hawking)
 - HH vacuum appears to observer outside
 b.h. as a mixed state described by
 - thermal density matrix at $T=T_H$

Semi-classical b.h. evolution:



Want to describe evolution of
matter + geometry including
back-reaction effects

→ effective field theory (EFT)

$$S = S_{EH} + S_{matter} + \int d^4x F_g \left\{ c_1 R^2 + c_2 R_{\mu\nu} R^{\mu\nu} + \dots + \frac{c_3}{\Omega^2} R^2 + \dots \right\}$$

Ω is a UV cutoff scale below which EFT is valid

All terms consistent with symmetries and locality are included in EFT action

Expect higher order terms to be suppressed as long as $|R_{\mu\nu\lambda\sigma}| \ll S^2$

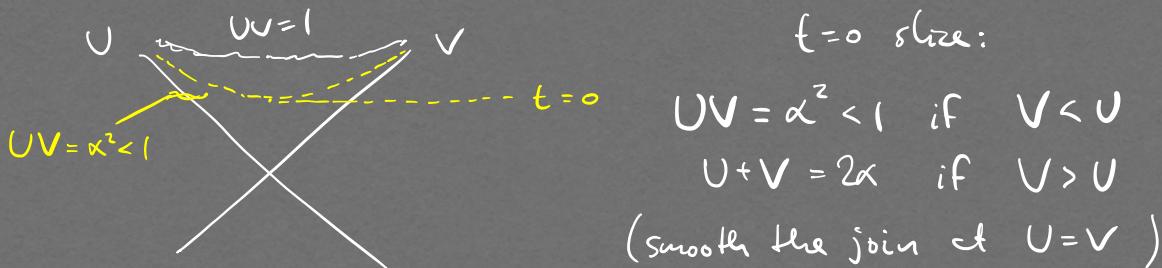
Example: Schwar. b.h. w. $M = M_0$

and $S^2 = 1 \text{ TeV}$

$$\rightarrow \frac{R_{\mu\nu\lambda\sigma} R^{\mu\nu\lambda\sigma}}{S^4} \simeq 10^{-44} \text{ at event horizon}$$

The extensive curvature of all Cauchy surfaces must also remain small compared to cutoff scale
 \rightarrow nice slices

Simple construction for eternal Schr. b.h.
 in Kruskal-Szekeres coordinates:



$$t \neq 0 \text{ slices: } UV = \alpha^2 \text{ if } V < e^{\frac{t}{2m}} U$$

$$e^{\frac{t}{2m}} U + e^{-\frac{t}{2m}} V = 2\alpha \text{ if } V > e^{\frac{t}{2m}} U$$

3-momentum of infalling particle that starts at rest outside b.h. remains small in local rest frame of nice slice

Note: The 3-momentum diverges in Schwarzschild as $r \rightarrow 2M$

Nice slice Hamiltonian

$$i \frac{\partial}{\partial \epsilon_{NS}} |\psi\rangle = H_{NS} |\psi\rangle$$

H_{NS} is time dependent near b.h.

→ particle production at $\mathcal{O}\left(\frac{M_{Pl}^2}{M}\right)$ energies

→ Hawking radiation

Formulation of the information paradox
Implicitly assumes existence of nice slices
and validity of local EFT.